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United States Court of Appeals Tenth Circuit

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**Christopher M. Wolpert** 

**Clerk of Court** 

#### **PUBLISH**

#### UNITED STATES COURT OF APPEALS

### FOR THE TENTH CIRCUIT

UNITED STATES OF AMERICA,

Plaintiff - Appellee,

v.

ABIEL PEREZ-PEREZ,

Defendant - Appellant.

No. 19-2154

#### Appeal from the United States District Court for the District of New Mexico (D.C. No. 1:17-CR-03241-JCH-1)

Shira Kieval, Assistant Federal Public Defender (Virginia L. Grady, Federal Public Defender, with her on the briefs), Denver, Colorado, for Defendant-Appellant.

Tiffany Walters, Assistant United States Attorney (John C. Anderson, United States Attorney, with her on the brief), Albuquerque, New Mexico, for Plaintiff-Appellee.

Before TYMKOVICH, Chief Judge, EBEL, and BACHARACH, Circuit Judges.

EBEL, Circuit Judge.

Defendant-Appellant Abiel Perez-Perez (referred to by the parties and here as

Perez) pled guilty to being an alien in possession of a firearm in violation of

18 U.S.C. § 922(g)(5). On appeal, he challenges the district court's failure to advise

him of two elements of that offense: (1) the alien is illegally or unlawfully present in

the United States; and (2) the alien knows that he is illegally or unlawfully present. Perez failed to raise this issue below and this Court thus reviews for plain error. The government concedes that the omission of these elements constitutes error that is now plain on appeal. The only dispute is whether Perez satisfied the third and fourth prongs of plain-error review.

We conclude that Perez cannot satisfy the third prong because he cannot show that the error affected his substantial rights. Although Perez has a credible claim that, at the time of the offense, he did not know he was unlawfully present in the United States, he has failed to show a reasonable probability that he would not have pled guilty but for the district court's error. This is because the context of Perez's guilty plea makes clear that he pled guilty to avoid mandatory minimum sentences attached to charges the government dismissed in exchange for the guilty plea. Perez fails to show how the district court's error impacted that choice, and he thus fails to satisfy the third plain-error prong. Exercising jurisdiction under 28 U.S.C. § 1291 and 18 U.S.C. § 3742(a), we affirm his conviction.

#### I. BACKGROUND

#### **Perez's Immigration Status**

Perez was born in Mexico. His family was very poor and struggled with homelessness. Perez has only a sixth-grade education, can barely read, and can barely write his own name. He does not speak English. He has worked as a painter and a blacksmith. In 2009, when he was thirty-three years old, Perez unlawfully entered the United States in the hope of obtaining better employment. Since then, Perez has remained in the United States as an undocumented alien, working "under the table." R., Vol. 3 at 74. In 2011, Perez married a U.S. citizen, but he did not take any immediate steps to obtain lawful residency status based on that marriage. In 2012, Immigration and Customs Enforcement charged Perez with illegal entry, but no criminal charges were filed.

In 2016, Perez was placed in removal proceedings in immigration court. Perez's wife and her family bonded him out and he then began the process of adjusting his residency status to lawful based on his marriage to a U.S. citizen. In February 2017, Perez's bond money was returned. Perez was not removed. There is no evidence that Perez ever completed the process to achieve lawful status based on his marriage or ever obtained any lawful status.

#### **Drug Investigation and Arrest**

In August 2017, the Drug Enforcement Agency (DEA) began investigating Perez for heroin distribution. The DEA determined that Perez was operating a drugtrafficking organization, aided by two associates. The investigation culminated in raids on Perez's stash house, where agents located 187 grams of heroin, and Perez's residence, where agents found 15 firearms, including high-capacity firearms, and ammunition.

#### **District Court Proceedings**

A federal grand jury indicted Perez, charging him with distribution of at least 100 grams of heroin under 21 U.S.C. § 841(a)(1) and (b)(1)(B), and with conspiracy to do so under 21 U.S.C. § 846. Each charge carried a five-year mandatory minimum. Perez was not initially charged with any firearms-related offenses.

Eighteen months later, the parties reached a plea agreement. Perez agreed to plead guilty to an information charging him with (1) distributing an unspecified quantity of heroin under 21 U.S.C. § 841(a)(1) and (b)(1)(C), and 18 U.S.C. § 2; and (2) "being an alien, who was illegally and unlawfully in the United States," in possession of a firearm in violation of 18 U.S.C. § 922(g)(5). R., Vol. 1 at 135–37. Neither charge carried a mandatory minimum sentence. In exchange, the government agreed to dismiss the charges in the original indictment.

Perez's plea agreement erroneously described the elements of his firearms offense as (1) "the defendant knowingly possessed a firearm"; (2) "the defendant was an alien at the time he possessed the firearm"; and (3) the firearm moved in interstate commerce. <u>Id.</u> at 143. It did not inform Perez of the element requiring that the alien be "illegally or unlawfully in the United States." <u>See</u> 18 U.S.C. § 922(g)(5). Perez acknowledged he was an alien but did not admit he was in the country unlawfully. He did, however, consent to removal following the completion of his sentence.

At his plea hearing, Perez confirmed that he had read and understood the charges in his information and that his attorney had read him the elements of the offenses. However, Perez was never informed that some non-citizens are allowed to possess firearms and that illegal or unlawful status was an element of § 922(g)(5).

Additionally, when Perez pled guilty to that offense, the government only had to establish the elements of (1) status as an alien illegally or unlawfully present in the United States, and (2) knowing possession of a firearm that traveled in interstate commerce. <u>See United States v. Games-Perez</u>, 667 F.3d 1136, 1140 (10th Cir. 2012) (rejecting that a defendant must <u>knowingly</u> possess the prohibited status at the time of the offense). Thus, the government did not have to prove Perez knew his prohibited status. Accordingly, Perez's guilty plea did not include any mention of proof of his knowledge of his prohibited status.

After Perez pled guilty but before he was sentenced, the Supreme Court decided <u>Rehaif v. United States</u>, 139 S. Ct. 2191 (2019), holding that a defendant's knowledge of his prohibited status is a required element the government must prove under § 922(g). <u>Id.</u> at 2194. Thus, the government had to prove that Perez knew he was illegally or unlawfully in the United States at the time of the offense. Perez was never informed of this element.

At sentencing, Perez's offense level was based primarily on the drug charge, but an additional offense level was added because of the firearms offense under the guidelines rules regarding grouping and multiple count adjustments. This resulted in an advisory guidelines range of 78 to 97 months in prison. Perez argued for a downward variance and a below-guidelines sentence of 37 months, but the district court sentenced him to 78 months on each count to run concurrently. This appeal

followed. On appeal, Perez argues that the district court erred by failing to inform him of two elements of the § 922(g)(5) offense before accepting his guilty plea. Accordingly, he asks this Court to vacate his guilty plea to that offense.<sup>1</sup>

#### II. STANDARD OF REVIEW

Because Perez did not raise this argument below, we review for plain error. <u>See United States v. Gonzalez-Huerta</u>, 403 F.3d 727, 731 (10th Cir. 2005) (en banc). Under plain-error review, "the defendant must establish that (1) the district court committed error; (2) the error was plain—that is, it was obvious under current well-settled law; (3) the error affected the [d]efendant's substantial rights; and (4) the error seriously affected the fairness, integrity, or public reputation of judicial proceedings." <u>United States v. Dalton</u>, 918 F.3d 1117, 1129–30 (10th Cir. 2019) (quoting <u>United States v. Chavez-Morales</u>, 894 F.3d 1206, 1214 (10th Cir. 2018)). This Court applies plain-error review "less rigidly" when reviewing a potential constitutional error. <u>Id.</u> at 1130 (quoting <u>United States v. Weeks</u>, 653 F.3d 1188, 1198 (10th Cir. 2011)).

Here, the government concedes that Perez has satisfied the first two plain-error prongs by establishing that the district court committed an error that became "clear or obvious at the time of the appeal." <u>Gonzalez-Huerta</u>, 403 F.3d at 732. Thus, the issue is whether Perez has met the third and fourth plain-error prongs.

<sup>&</sup>lt;sup>1</sup> Perez does not challenge the validity of his drug-distribution conviction.

To satisfy the third prong, Perez "must show a reasonable probability that, but for the error, he would not have entered the plea." <u>United States v. Trujillo</u>, 960 F.3d 1196, 1208 (10th Cir. 2020).<sup>2</sup> This is a lesser standard than proof by a preponderance of the evidence, and it is satisfied by "a probability sufficient to undermine confidence in the outcome." <u>United States v. Bustamante-Conchas</u>, 850 F.3d 1130, 1138 (10th Cir. 2017) (en banc) (quoting <u>United States v. Hasan</u>, 526 F.3d 653, 665 (10th Cir. 2008)). If Perez fails to satisfy the third plain-error prong, the Court need not reach the fourth prong. <u>Trujillo</u>, 960 F.3d at 1208.

#### III. DISCUSSION

Perez asserts that his guilty plea is constitutionally invalid because he was not informed of two elements of the firearms offense: (1) that the defendant alien is illegally or unlawfully present in the United States; and (2) that the defendant knew of his unlawful status. A guilty plea must be voluntary and intelligent, which requires that the defendant receive "real notice of the true nature of the charge against him." <u>Bousley v. United States</u>, 523 U.S. 614, 618 (1998) (quoting <u>Smith v.</u> <u>O'Grady</u>, 312 U.S. 329, 334 (1941)). This requires notice of the elements of the crime charged. <u>Hicks v. Franklin</u>, 546 F.3d 1279, 1284 (10th Cir. 2008).

<sup>&</sup>lt;sup>2</sup> Perez filed his opening brief the day before this Court issued <u>Trujillo</u>. In his brief, he argued for a different standard, under which the third prong is satisfied by a <u>Rehaif</u> error unless "the defendant was made aware of the missing elements through other means, or if the record contains an admission that would satisfy the elements." Aplt. Br. 12. In his reply brief, Perez conceded that this argument is foreclosed by <u>Trujillo</u>.

Accordingly, the district court plainly erred by accepting Perez's guilty plea despite Perez not being informed of two elements of § 922(g)(5). Yet Perez agreed to plead guilty to that charge (and the unspecified-quantity heroin-distribution charge) in exchange for the dismissal of charges that carried five-year mandatory minimums. Perez hoped that his plea to the substitute charges would result in a sentence below that five-year threshold. It did not. So Perez now tries to get out from his plea agreement by arguing <u>Rehaif</u> error. But the <u>Rehaif</u> error has no impact on the reason Perez took the plea deal, so he cannot show a reasonable probability that, but for the error, he would not have pleaded guilty. For that reason, as explained in more detail below, the Court concludes that Perez fails to satisfy plain-error review.

In reaching that conclusion, we first consider Perez's argument that he has a credible claim that he was not aware, at the time of his offense, that he was unlawfully present in the United States or that he could not lawfully possess a firearm. Accepting that argument, we then turn to whether it establishes a reasonable probability that, but for the district court's error, Perez would not have pled guilty. And that is where Perez's argument fails.

## A. Perez has a credible claim that, at the time of his offense, he was not aware that he was unlawfully present in the United States.

In the uninformed-guilty-plea context, a defendant might be able to satisfy the third prong of plain-error review by establishing a plausible defense based on an erroneously omitted element. <u>See United States v. Dominguez Benitez</u>, 542 U.S. 74,

85 (2004); <u>Trujillo</u>, 960 F.3d at 1208. For a defendant claiming a <u>Rehaif</u> error, such a plausible defense may be based on a claim of ignorance of the prohibited status. <u>See United States v. Fisher</u>, 796 F. App'x 504, 510 (10th Cir. 2019) (unpublished).

Here, we believe that Perez has a colorable argument that, at the time of the offense, he was not aware that he was unlawfully present in the United States. The record provides ample support for this potential defense: (1) Perez had been in the United States for at least seven years at the time of his offense; (2) Perez was married to a U.S. citizen; (3) Perez's U.S. citizen wife had initiated the process for him to adjust his residency status to lawful based on their marriage; (4) Perez's 2017 bond money was returned to him and the removal proceedings concluded, apparently, without him being removed;<sup>3</sup> and (5) Perez was illiterate, unsophisticated, and unfamiliar with the complexities of immigration law.

In light of these facts, Perez may well not have known at the time of the offense that he was unlawfully present in the United States. Although it is undisputed that Perez never had lawful status, he could have believed that he had some sort of lawful status in 2017 based on the above facts—or at least he could have credibly argued to a jury that he so believed.

These circumstances are sufficient to support a plausible defense, and Perez need not assert now on appeal that at the time of the offense he actually believed he had lawful status. Our determination is not based on "*post hoc* assertions from a

<sup>&</sup>lt;sup>3</sup> The record does not explain why the bail money was returned or how the removal proceedings concluded.

defendant about how he would have pleaded," but on "contemporaneous evidence to substantiate a defendant's expressed preferences." <u>Lee v. United States</u>, 137 S. Ct. 1958, 1967 (2017); <u>see also United States v. Kennedy</u>, 225 F.3d 1187, 1191 (10th Cir. 2000) ("This court will not consider material outside the record before the district court."). Moreover, Perez does not need to assert or prove his actual innocence. <u>Trujillo</u>, 960 F.3d at 1201. Even if Perez was actually guilty of the omitted elements, he could still potentially satisfy the third plain-error prong by showing that the government would have had a difficult time proving his guilt. <u>See id. at 1207–08</u>.

Perez has made that showing, and the government's arguments fail to establish otherwise. The government merely reiterates that whatever Perez's efforts to adjust his status to lawful, there is no evidence that he completed the process and he never obtained a green card or other documentation of lawful status. This is true, but it only proves that Perez never <u>had</u> lawful status, not that he never <u>thought he had</u> lawful status. The government does not have any direct evidence to contradict Perez's claims, and it could not force him to testify. There is simply no evidence that Perez knew the government could prove its case against him absent his cooperation and an admission that he knew, at the time of the offense, of his prohibited status.

Under similar circumstances, our sister circuits have found the third plain-error prong satisfied. <u>See United States v. Jawher</u>, 950 F.3d 576, 580–81 (8th Cir. 2020); <u>United States v. Russell</u>, 957 F.3d 1249, 1253–54 (11th Cir. 2020); <u>United States v. Balde</u>, 943 F.3d 73, 97–98 (2d Cir. 2019). In each case, the court found the third plain-error prong satisfied where the defendant had a credible claim that he thought he had lawful status, or, "[a]t the very least, . . . reasonable grounds on which to contest his knowledge of his prohibited status to a jury." <u>Jawher</u>, 950 F.3d at 580. Here too, Perez at least has reasonable grounds to contest this element to a jury.

The government fails to distinguish those cases. It attempts to do so by pointing out that each defendant had argued below that he had legal status, whereas Perez did not. But here, unlike those cases, Perez was told that he was guilty merely for being an alien in possession of a firearm, regardless of whether he was in the United States lawfully or unlawfully. Thus, Perez had no reason to argue about his status, because he could not dispute that he was an alien.

That Perez has a colorable argument to satisfy the third prong is further supported by comparison to the <u>Rehaif</u>-fix cases the government cites in which courts found this prong <u>not</u> satisfied. Those courts relied on overwhelming evidence of guilt for the omitted element. For example, in <u>Trujillo</u>, a felon-in-possession case, this Court found that the defendant could not "credibly claim he was unaware that he was a felon" where he had been previously convicted of six felonies and sentenced to twenty-four years in prison. 960 F.3d at 1208. Similarly, in <u>United States v. Puri</u>, 797 F. App'x 859 (5th Cir. 2020) (unpublished), an alien-in-possession case, the defendant admitted that at the time of the offense he believed that he was unlawfully present. <u>Id.</u> at 864.

Perez has admitted no such knowledge at the time of the offense and he has a credible claim that he lacked that knowledge. The government could gather further evidence and argue against Perez's claim at trial, and a jury might well reject it, but "we cannot conclude on the present record that the government's arguments are so strong that [Perez] would have had no plausible defense at trial and no choice but to plead guilty." <u>Balde</u>, 943 F.3d at 97.

We reject each of the government's arguments to the contrary, but we consider two of note.<sup>4</sup> The first addresses the district court's failure to inform Perez that only unlawfully present aliens, not all aliens, are prohibited from possessing firearms. The government argues that this error was non-prejudicial because Perez received actual notice of that element from the information, which included the factual allegation that Perez was "an alien, who was illegally and unlawfully in the United States." R., Vol. 1 at 135. That allegation was the only mention of that element in the record.

The government cites <u>United States v. Ferrel</u>, 603 F.3d 758 (10th Cir. 2010), as support for its notice theory. But in <u>Ferrel</u>, this Court found no effect on substantial rights where an omitted element was (1) alleged in the indictment, (2) repeated in the plea agreement, (3) repeated in the defendant's plea statement, and (4) discussed by the defendant with his attorney. <u>Id.</u> at 763–64. All that is

<sup>&</sup>lt;sup>4</sup> In addition to these two arguments, which were raised in the government's brief, at oral argument the government alluded to other offenses with which it could have charged Perez. Those hypothetical charges are not supported by the record and we do not consider them.

significantly more substantial evidence of actual notice than exists here, where claimed notice is based solely on a factual allegation in the information.

In further contrast with <u>Ferrel</u>, the pertinent element here was not just omitted, it was affirmatively misrepresented. Perez's plea agreement described the element as "the defendant was an alien at the time he possessed the firearm." R., Vol. 1 at 143. This inaccurate description of the element nullifies any notice provided by the factual allegation in the information. For these reasons, we reject the government's argument that Perez cannot satisfy the third prong because the information gave him actual notice of this element.<sup>5</sup>

The government's second argument is based on its assertion that Perez admitted below to being an unlawfully present alien. The government sees that "admission" in (1) Perez's failure to object to the PSR's listing of his immigration status as "illegal alien" and (2) various statements by Perez acknowledging that he is undocumented and worked "under the table." Aple. Br. 7, 12. The government argues that this admission and Perez's failure to raise any claim as to his lawful status indicates that he knew all along he was illegal. But as mentioned above, because Perez was told that the offense applied to all aliens, he never had reason to argue that he had lawful status or that he thought he did.

<sup>&</sup>lt;sup>5</sup> Even if the factual allegation in the information had provided actual notice, that would only apply to the error for the omitted element "an alien unlawfully or illegally present in the United States," not the omitted knowledge-of-status element. Thus, this argument would not be fatal to Perez's claim in any event.

In addition, Perez's "admissions" at the time of sentencing in 2019 are of questionable significance as to his knowledge of his status at the time of the offense in 2017. Perez admits that he now knows that he is unlawfully present and even concedes that it is "very likely" he was unlawfully present at the time of the offense. Aplt. Reply Br. 5–6. But that does not mean that <u>at the time of the offense</u> he knew he was unlawfully present, and that is what the government would have had to prove.

In summary, we conclude that Perez has established a plausible defense to the \$ 922(g)(5) offense, because he has a credible claim that, at the time of the offense, he was not aware that he was unlawfully present in the United States. We next consider whether that plausible defense is sufficient to establish a reasonable probability that, but for the district court's error, he would not have pled guilty.

# B. Despite his plausible defense, Perez has not established a reasonable probability that, but for the district court's error, he would not have pled guilty.

In some <u>Rehaif</u>-fix cases, establishing a plausible defense based on the omitted element might be sufficient to show that the error affected the defendant's substantial rights. But in light of the particular circumstances of Perez's plea agreement, his plausible-defense argument is not sufficient to make such a showing here.

We first consider the context of Perez's plea agreement. In one sort of plea-deal case, a defendant is charged with a particular offense and accepts a plea deal because he thinks he has no defense to that charge and he hopes to receive some sort of consideration for his plea, such as a reduced sentence. <u>See, e.g., United States v. Sanchez</u>, 983 F.3d 1151, 1157 (10th Cir. 2020) (describing a defendant claiming

<u>Rehaif</u> error after pleading guilty to being a felon in possession of a firearm after his motion to suppress evidence was denied); <u>see also</u> U.S.S.G. § 3E1.1(a) (decreasing the offense level for a defendant who "clearly demonstrates acceptance of responsibility for his offense"). In such a case, we may presume that a plausible defense based on an erroneously omitted element is enough to satisfy the third plain-error prong. <u>See Trujillo</u>, 960 F.3d at 1207. But this is not that sort of case.

Here, Perez was not initially indicted for the firearms offense, he was indicted for (1) distribution of at least 100 grams of heroin under 21 U.S.C. § 841(a)(1) and (b)(1)(B); and (2) conspiracy to distribute at least 100 grams of heroin under 21 U.S.C. § 846. Those charges each carry a five-year mandatory minimum. In exchange for the dismissal of those charges, Perez agreed to plead to an information charging him with (1) the § 922(g)(5) firearms offense; and (2) distributing an unspecified quantity of heroin under 21 U.S.C. § 841(a)(1) and (b)(1)(C). Both of those charges lacked mandatory minimum sentences.

In light of those facts, we review what the plea agreement did for Perez and what it did not do, and how those considerations speak to Perez's motivations for accepting the agreement. To start, the plea deal replaced the quantity-specific distribution and conspiracy drug charges with an unspecified-quantity distribution charge. This reveals two things: First, that Perez's motivation could not have been avoiding a drug charge, because the plea deal retained such a charge. Second, that the new drug charge was uniquely tailored to Perez's needs in that it omitted a specific quantity of drugs. Although an unspecified-quantity charge is not unheard

of, we think it uncommon. Such a charge does not implicate mandatory minimum sentences. The unusualness of not having a specified quantity suggests that the new charge was tailored to Perez's desire to avoid mandatory minimums.

In addition to modifying the drug charges, the plea agreement added a new charge: the firearms offense under § 922(g)(5). Under the Sentencing Guidelines, that offense did not group with the unspecified-quantity drug charge, resulting in a multiple count adjustment increasing Perez's base offense level by one level. In contrast, the original drug charges in the indictment would have grouped, resulting in no adjustment for multiple counts. See U.S.S.G. § 3D1.2. The additional offense level resulting from the non-grouping charges translated to an increase in the overall advisory guidelines range, from 70 to 87 months to 78 to 97 months. Thus, accepting the plea agreement resulted in a higher guidelines range than would have pleading to the original charges.

In sum, the plea agreement (1) did not allow Perez to avoid a drug charge, (2) added the new charge under § 922(g)(5), and (3) increased Perez's guidelines range by 8 to 10 months. It did, however, confer one significant benefit upon Perez by allowing him to avoid any mandatory minimum sentence. Because the charges implicating mandatory minimums were dismissed, Perez was able to argue for a below-guideline sentence of 37 months, well below both the 78-to-97-month advisory range he faced on the plea agreement charges and the five-year minimum he faced on the original charges. Absent the plea agreement, Perez could not have argued for that sentence. These circumstances make clear that Perez's motivation for accepting the

plea agreement was to avoid the mandatory minimums in the hope of obtaining a downward variance and reducing his incarceration period. We can confidently conclude this was Perez's motivation because it was the only advantage offered to Perez under the new plea offer that he accepted.

In essence, Perez gambled in accepting an 8-to-10-month increase in his advisory range (from 70 to 87 months to 78 to 97 months) in exchange for avoiding the 60-month mandatory minimums. Although Perez's counsel suggested during oral argument that the increased advisory range shows that the plea deal was not such a great deal for Perez, that increase actually cuts against Perez's case. By accepting a higher advisory range in his plea agreement, Perez made clear that his motivation in accepting the agreement was to avoid mandatory minimums so that he could argue for a below-guidelines sentence.

Perez lost his gamble, as the district court rejected his downward-variance argument and sentenced him to 78 months, at the bottom of the advisory range but above the mandatory minimum he would have faced under the original charge. Because Perez's strategic choice did not pay off, he now wants to back out of his plea deal. But even had Perez known of the omitted elements, there is no reason to believe that would have impacted his decision to plead, because it would not have impacted his motivation. Perez made a calculated decision to accept this plea agreement in order to avoid the mandatory minimums. That calculus does not change depending on whether or not Perez had a chance to beat the firearms offense, when he was not charged with that offense in the first instance.

Indeed, what really mattered was the government's ability to prove the initial drug charges, not its ability to prove the substitute firearms offense. Critically, however, Perez never argues that the government would have had any difficulty in proving those initial charges. Perez simply overlooks this point, arguing only that because he has now established a plausible defense to the new gun charge, he satisfies the third plain-error prong.<sup>6</sup> This fails to satisfy his obligation under that prong.

We read the dissent as disagreeing with us on two main points. First, the dissent deems Perez's plausible-defense argument to the gun charge sufficient to satisfy the third plain-error prong. We think this fails to appreciate the circumstances under which Perez accepted the plea agreement, which was to avoid the mandatory-minimum requirements of the initial drug charges. (See Aplt. Br. 2 ("[Perez] pled guilty to both charges in the information in exchange for dismissal of the two indicted counts.").)

Second, in response to our reasoning, the dissent undertakes its own analysis of the government's ability to prove the initial drug charges, concluding that the government would have had "extraordinary difficulty" in proving those charges. (Dissent 8.) Accordingly, the dissent finds "a reasonable probability that absent the

<sup>&</sup>lt;sup>6</sup> The government additionally points out that <u>Rehaif</u> came out before Perez was sentenced, such that Perez could have tried to withdraw his guilty plea on that basis, but instead chose to stand by it in the hope of reduced sentencing. We reject this argument because it would only have weight if Perez had actual knowledge of <u>Rehaif</u>, and there is no such evidence.

error, [Perez] wouldn't have pleaded guilty because of (1) the weakness of the government's evidence showing knowledge of his unlawful status and (2) the government's inability to prove [Perez's] constructive possession of at least 100 grams of heroin." (Id. at 15–16.)

We cannot agree. To be sure, the dissent makes a credible argument that the government might have had difficulty proving the initial drug charges. But because Perez did not make that argument, this approach would require us to reverse under plain-error review based on a ground not argued by the defendant. We decline to do so. Instead, we affirm because it is Perez's burden to establish that his substantial rights were affected, and, by not arguing that the government would have had difficulty in proving the initial drugs charges, he has not met his burden of proving plain error.

In light of Perez's motivation for taking the plea deal—a motivation that is unchanged by <u>Rehaif</u>—he has not established a reasonable probability that, but for the omitted elements, he would not have pleaded guilty. Thus, Perez fails to satisfy the third prong of plain-error review. Because Perez does not satisfy that prong, we need not consider the fourth prong. <u>See Trujillo</u>, 960 F.3d at 1208.

\* \* \*

We conclude that the district court did not commit reversible plain error by accepting Perez's uninformed guilty plea because Perez fails to establish a reasonable

probability that, but for the error, he would not have pleaded guilty. Accordingly, we affirm his guilty plea and conviction.<sup>7</sup>

#### **IV. CONCLUSION**

For the reasons provided above, we AFFIRM the district court on all issues presented on appeal.

<sup>&</sup>lt;sup>7</sup> Perez had additionally argued that the district court's error regarding the firearms offense also required vacating the sentence for the unspecified-quantity drug offense along with the sentence for the firearms offense. However, because we affirm Perez's firearms conviction, we also affirm the unspecified-quantity drug sentence.