JUDICIAL COUNCIL OF THE TENTH CIRCUIT

IN RE: COMPLAINT UNDER THE JUDICIAL CONDUCT AND DISABILITY ACT

No. 10-23-90021

Before **HOLMES**, Chief Judge

## **MEMORANDUM & ORDER**

Complainant has filed a complaint of judicial misconduct against a district judge in this circuit. My consideration of this complaint is governed by the misconduct rules issued by the Judicial Conference of the United States, entitled *Rules for Judicial-Conduct and Judicial-Disability Proceedings* (the "JCD Rules"), the federal statutes addressing judicial conduct and disability, 28 U.S.C. § 351 *et seq.*, and relevant prior decisions of the full Judicial Council of this circuit that are consistent with those authorities.

The JCD Rules and this circuit's local misconduct rules are available to complainants on the Tenth Circuit's web page at:

https://www.ca10.uscourts.gov/ce/misconduct. Paper copies are also furnished by the Circuit Executive's Office upon request. In accordance with those rules, the names of the complainant and subject judge shall not be disclosed in this order. *See* JCD Rule 11(g)(2).

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Complainant, appearing pro se in this complaint and at various times in the case below, alleges the subject judge ordered him to undergo a mental competency evaluation as a pretext for denying him the right to represent himself in his criminal case—i.e. if Complainant were deemed mentally incompetent his request for self-representation would be denied. Complainant further alleges the subject judge meddled in his examination to ensure he would be found incompetent. Complainant alleges the source of his information relating to these allegations is counsel, who was appointed by the subject judge to serve as standby counsel. However, Complainant also acknowledges that appointed counsel disavowed this interpretation of his comments. Complainant appears to infer a conspiracy between the subject judge and appointed counsel on this issue. These claims are not cognizable as misconduct because the decision to order a competency evaluation is "directly related to the merits of a decision or procedural ruling." JCD Rule 11(c)(1)(B); see also Commentary to JCD Rule 4 (stating that "[a]ny allegation that calls into question the correctness of an official decision or procedural ruling of a judge without more—is merits-related").

Additionally, while allegations of conspiracy can state a valid claim for misconduct even when the alleged conspiracy relates to a judge's ruling, *see*Commentary to JCD Rule 4, the conspiracy claim in this complaint—as it relates both to the subject judge's alleged meddling in the evaluation, and the alleged collusion between the judge and appointed counsel—fails because it is completely unsupported.

Complainant has provided only his conjecture to bolster these allegations. The JCD Rules

require complainants to support their allegations with "sufficient evidence to raise an inference that misconduct has occurred." *See* JCD Rule 11(c)(1)(D).

Accordingly, this complaint is dismissed pursuant to JCD Rule 11(c). The Circuit Executive is directed to transmit this order to complainant and copies to the subject judge and the Judicial Conference Committee on Judicial Conduct and Disability. *See* JCD Rule 11(g)(2). To seek review of this order, complainant must file a petition for review by the Judicial Council. The requirements for filing a petition for review are set out in JCD Rule 18(b). The petition must be filed with the Office of the Circuit Executive within 42 days after the date of the chief judge's order. *Id*.

So ordered this 21st day of July, 2025.

Honorable Jerome A. Holmes

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Chief Circuit Judge